SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* DIETRICH MARTIN A				uer Name and Ticke <u>T BANCORP</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First)	(Middle)		te of Earliest Transa 4/2022	ction (Month/	Day/Year)	- X	Director Officer (give title below)	10% C Other below	(specify	
52 SOUTH BF	ROAD STREET	Г									
(Street)			4. If A	mendment, Date of	Original Filed	l (Month/Day/Year)	6. Indiv Line)	/idual or Joint/Grou	p Filing (Check	Applicable	
NORWICH	NY	13815					X	Form filed by On	e Reporting Per	son	
		13013						Form filed by Mo Person	re than One Re	porting	
(City)	(State)	(Zip)									
		Table I - Non	-Derivative S	Securities Acqu	uired, Disp	oosed of, or Bene	ficially	^v Owned			
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) (A) er			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
NBT Bancorp Inc. Common Stock	01/14/2022		S		9,935	D	\$42	118,059	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned											

			(e.y., pi	115, 00	ans, v	vaiie	ants,	options, t	Jonventin	16 36	cunites	7			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of Month/Day/Year) Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

By: Annette L. Burn, Power of 01/18/2022 Attorney For: Martin A.

Dietrich

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See ſ Instruction 1(b).