## SEC Form 5

# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Form 3 Holdings Reported. Form 4 Transactions Reported.

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

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Filed pursuant to Section 16(a) of the Securities
or Section 30(h) of the Investment Compa

Form 4 Transact	ons Reported.	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940				
1. Name and Addres <u>DIETRICH N</u>	ss of Reporting Persor	)* 	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NBT BANCORP INC</u> [ NBTB ]		ionship of Reporting Persor all applicable) Director	10% Owner	
(Last)     (First)     (Middle)       52 SOUTH BROAD STREET		(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2015	Х	Officer (give title below) President & CEO NB7	Other (specify below)	
(Street) NORWICH	NY	13815	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	idual or Joint/Group Filing ( Form filed by One Reporti Form filed by More than C	ng Person	
(City)	(State)	(Zip)			Person		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acq Of (D) (Instr. 3, 4		or Disposed	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(Month/Day/Year)	8)	Amount	(A) or (D)	Price	Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	
NBT Bancorp Inc. Common Stock	11/24/2015		G	1,660	D	\$0.0	190,842.569	D	
NBT Bancorp Inc. Common Stock	12/31/2015		J	267.476	A	<b>\$0.0</b> <sup>(1)</sup>	191,110.045	D	
NBT Bancorp Inc. Common Stock	12/31/2015		J	334.683	A	\$0.0 <sup>(2)</sup>	19,280.595	I	401k
NBT Bancorp Inc. Common Stock							755	I	Custodian for Minor
NBT Bancorp Inc. Common Stock							10,731.723	I	NBT Bancorp ESOP

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warrains, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Dispe of (D	vative rities lired r osed ) r. 3, 4	Expiration Date (Month/Day/Year) 5 1 d		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$20.19						01/15/2011 <sup>(3)</sup>	01/15/2020	NBT Bancorp Inc. Common Stock	25,000		25,000	D	
Non- Qualified Stock Option (right to buy)	\$20.3617						01/15/2009 <sup>(4)</sup>	01/15/2018	NBT Bancorp Inc. Common Stock	25,000		25,000	D	
Non- Qualified Stock Option (right to buy)	\$25.15						10/29/2016 <sup>(5)</sup>	10/29/2024	NBT Bancorp Inc. Common Stock	20,575		20,575	D	
Non- Qualified Stock Option (right to buy)	\$25.36						10/29/2016 <sup>(5)</sup>	10/29/2024	NBT Bancorp Inc. Common Stock	1,166		1,166	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Disp of (D	or osed ) r. 3, 4	Expiration Date Amo (Month/Day/Year) Secu Unde Deriv		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$25.38						01/15/2010 <sup>(3)</sup>	01/15/2019	NBT Bancorp Inc. Common Stock	25,000		25,000	D	
Non- Qualified Stock Option (right to buy)	\$25.762						01/01/2008 <sup>(4)</sup>	01/01/2017	NBT Bancorp Inc. Common Stock	28,000		28,000	D	
Non- Qualified Stock Option (right to buy)	\$26.67						09/14/2017 <sup>(5)</sup>	09/14/2025	NBT Bancorp Inc. Common Stock	13,641		13,641	D	

Explanation of Responses:

1. Shares acquired under NBT Bancorp Dividend Reinvestment Plan during period of 1/1/2015 to 12/31/2015.

2. Shares were purchased from 1/1/2015 through 12/31/2015 through NBT Bancorp Inc. 401k Plan.

3. Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

4. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

5. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan reload grant vests 100% two years after date of its grant.

By: F. Sheldon Prentice, Power

of Attorney For: Martin A. 02/16/2016

**Dietrich** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.