FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 | |
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| STATEMENT | OF | CHANGES | IN B | ENEFICIA | L OW | /NERSH | ΗP |
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| OMB APPROVAL | | | | | | | |
|--------------------------|-------|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | |
| hours per response | : 0.5 | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1 | nd Address of <u>Martin R</u> | Reporting Person* andolph | | | | | | | er or Tra | | | | | | eck all app Direc | licable) tor | | rson(s) to Is | vner |
|--|--|---------------------------|-----------------|---|----------------|---|---|---|-----------------|----------|---|-----------------|--------------------------------------|---|--|---|-----------------------------------|--|-------------|
| (Last) 52 SOUT | (Fii ΓΗ BROAΓ | , | Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/21/2024 | | | | | | | | below | , | | Other (s below) sel,Secreta | ` | |
| (Street) | CH NY | ? 1 | 3815 | | 4. If <i>I</i> | Amend | ment, | Date o | f Origina | al Filed | d (Month/Da | y/Year |) | Line | e) <mark>X</mark> Form | filed by On | e Rep | ng (Check A porting Perso In One Repo | on |
| (City) | (St | ate) (Z | Zip) | | Rul | Rule 10b5-1(c) Transaction Indication | | | | | | | | 1 | | | | | |
| | | | | | | | action was m | | | | | uction or writt | ten pla | in that is inter | nded to | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | Execution Date, | | | | es Acquired (A) or Of (D) (Instr. 3, 4 a | | | Benefic | ties For cially (D) Following (I) (| | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | |
| | | | | | | | | | Code | v | Amount | (A) (D) | or I | Price | | ction(s) | | | (111511. 4) |
| NBT Ban | corp Inc. C | ommon Stock | | 05/21/ | 2024 | | A | | 3,003(1) | A | A | \$0.0 | 15,003 | | | D | | | |
| NBT Bancorp Inc. Common Stock 05/21/2 | | | | 2024 | | | A | | 1,000(2) | A | A | \$0.0 | 16,003 | | | D | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) 2. Conversion Date (Month/Day/Year) 3. Transaction Date Execution Date (Month/Day/Year) 6. Derivative Security | | | on Date, | 4. Transaction Code (Instr. 8) | | of Deriv | r osed) r. 3, 4 | 6. Date Exer Expiration D (Month/Day/ | | te | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4) | | 1 (| 3. Price of Derivative Security Instr. 5) | 9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownersh Form: Direct (D or Indire (I) (Instr. | Ownership | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | Amo or Num of Shar | ber | | | | | |

Explanation of Responses:

- 1. Pursuant to NBT Bancorp Inc. Omnibus Restricted Stock Unit Agreement the grant vests 20% annually.
- 2. The award is in the form of restricted stock units which represents a contingent right to receive one share of NBT Bancorp Inc. common stock for each stock unit awarded. The restricted stock units vest in full as of the second anniversary of the Grant Date and may not be settled in cash.

By: Annette L. Burns, Power

of Attorney For: Martin

05/22/2024

Randolph Sparks

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.