SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-02								
Estimated average burden								
hours per response:	0.5							

	tions may contil tion 1(b).			ection 16(a) 0(h) of the In					934		hours	s per response:	0.5			
1. Name and Address of Reporting Person [*] Wiles Amy				2. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]							eck all app Direc	blicable)	ng Person(s) to 10% (Other	Dwner		
(Last) 52 SOU	t) (First) (Middle) SOUTH BROAD STREET				3. Date of Earliest Transaction (Month/Day/Year) 11/15/2020						A below	w) Ü	below Risk and Cred)		
(Street) NORWI (City)			3815 Zip)		4. If Ar	mendr	ment, Date of	f Origina	l Filed	l (Month/Da	y/Year)	6. I Lin	e) <mark>X</mark> Form	n filed by On n filed by Mc	p Filing (Check le Reporting Pe ore than One Re	rson
		Table	I - Non-	Deriva	tive S	ecur	ities Acq	uired,	Disp	oosed of	, or Bei	neficia	lly Own	ed		
1. Title of Security (Instr. 3) Date (Month/Da				Execution Date,		3. Transaction Code (Instr.4. Securities Acquired (Disposed Of (D) (Instr. 3 5)8)						6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
						Code	v	Amount	(A) or (D)	Price	Transa	action(s) 3 and 4)		(1130.4)		
NBT Bancorp Inc. Common Stock 11/15/					2020			F		1,359	D	\$30.	2 2	1,813	D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr.) 8)		of	Expiration Date (Month/Day/Year)		te	Amount of De Securities Securities		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)

Explanation of Responses:

By: Annette L. Burns, Power of Attorney For: Amy Wiles

Amount or Number

of Shares

Title

11/16/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date Exercisable Expiration Date