SEC Form 4	ŀ
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 $\Box$ 

Non-Qualified Stock Option (right to buy)

Non-Qualified Stock Option

(right to buy)

\$25.38

\$25.762

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed nursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL OMB Number: 3235-0287

nours per response:	0.5
Estimated average burden	

	uon 1(b).											npany Act										
1. Name and Address of Reporting Person* <u>LEVY JEFFREY M</u>										or Tradi I <mark>NC</mark> [				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) (First) (Middle) 52 SOUTH BROAD STREET						Date ( /20/2		est Tra	nsac	tion (Mo	nth/E	0ay/Year)		X Officer (give title below) Other (specify below)   EVP/ Pres Commercial Banking								
(Street) NORWICH NY 13815				4. li	4. If Amendment, Date of Original Filed (Month/Day/Year)											6. Individual or Joint/Group Filing (Check Ap Line) X Form filed by One Reporting Perso Form filed by More than One Report						
(City) (State) (Zip)																Person						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																						
			2. Transaction Date (Month/Day/Year)		ear)	2A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr.					nd	5. Amount of Securities Beneficially Owned Following Reported		Form: Direct (D) or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
										Code	v	Amount		(A) or (D) Prio		•	Transaction(s) (Instr. 3 and 4)				(	
NBT Bar	corp Inc. C	ommon Stock		02/20	0/201	3				Α		5,421 <sup>(1)</sup>		Α	\$	)	45,37	6.032		D		
NBT Bar	corp Inc. C	ommon Stock							_						<u> </u>		4,599	9.239			401k	_
NBT Bancorp Inc. Common Stock																1,080.335		I		NBT Bancorp ESOP	,	
		7	Fable II - I									osed of, onvertil					owned					
Derivative Conversion Date Execution Date, if any   Security or Exercise (Month/Day/Year) if any if any				4. Transaction Code (Instr. 8)		n of E		Exp	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)			Derivative Security		9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	i Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Natu of Indire Benefic Owners (Instr. 4)	ect ial hip	
				ľ											Amoun or	t						
					Code	v	(A)	(D)	Date Exe	e ercisable		xpiration ate	Title	c	lumbe of Shares	r						
Non- Qualified Stock Option (right to buy)	<b>\$</b> 20.19								01/1	15/2011 <sup>(2</sup>	) 0	1/15/2020	NE Bano In Com Sto	corp c. ] mon	18,000			18,000		D		
Non- Qualified Stock Option (right to buy)	<b>\$20.3617</b>								01/1	15/2009 <sup>(3</sup>	•) 0	1/15/2018	NE Bano In Com Sto	corp c. mon	7,500			7,500		D		
Non- Qualified Stock Option (right to buy)	\$22.352								01/0	01/2007 <sup>(3</sup>	<sup>()</sup> 0	1/01/2016	NE Bano In Com Sto	corp c. mon	5,000			5,000		D		
Non- Qualified Stock Option (right to buy)	\$23.293								10/0	01/2006 <sup>(3</sup>	) 1	0/01/2015	NE Bano In Com Sto	corp c. 2 mon	20,000	,		20,000	)	D		

NBT Bancorp Inc.

Common Stock

NBT Bancorp Inc. Common Stock 13,000

9,000

13,000

9,000

D

D

01/15/2010<sup>(2)</sup> 01/15/2019

01/01/2008<sup>(3)</sup> 01/01/2017

## Explanation of Responses:

1. Pursuant to NBT Bancorp Inc. Omnibus Restricted Stock Unit Agreement the grant vests 40% for first year, 20% annually for following years.

2. Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

3. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

By: F. Sheldon Prentice, Powerof Attorney For: Jeffrey M.02/22/2013Levy1

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.