SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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obligations may continue. See Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addro <u>Hyle Shauna</u>	ess of Reporting Pers I <mark>star</mark>	on*		uer Name and Tick T BANCORI	0		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (spec					
(Last) 52 SOUTH BR	(First) OAD STREET	(Middle)		te of Earliest Trans 3/2020	action (Month	/Day/Year)	X	below)	umer Lending	N)		
(Street)			4. If A	Amendment, Date c	of Original File	d (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
NORWICH	NY	13815					X	Form filed by O	ne Reporting Pe	erson		
(City)	(State)	(Zip)						Form filed by M Person	ore than One R	eporting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) Date (Month/Day,				2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)	4 and 🕴	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		

				(Mon	th/Day/Year)	8)					Owned Follo	owing (I		Ownership	
						Code	v	Amount	(A) or (D)	Price	Transaction(1150. 4 j	
NBT Bancorp Inc. Common Stock 0		03/23/2	.020		Α		2,235 ⁽¹⁾	A	\$ <mark>0.0</mark>	10,219	Ð	D			
NBT Ban	corp Inc. C	ommon Stock									872.33	3	I 4	01k	
NBT Bancorp Inc. Common Stock NBT Bancorp Inc. Common Stock NBT Bancorp Inc. Common Stock Table II - Derivativ										1.227	,				
NBT Ban	corp Inc. C	ommon Stock									278.67	6	I	Bancorp	
		Tal		ive Securi Its, calls, v						-	Owned				
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,	4. Transaction	5. Number of	6. Date Expirat			7. Title a Amount	Reported Transaction(s) (Instr. 3 and 4)(Instr. 4) A $\$0.0$ $10,219$ D I A $\$0.0$ $10,219$ D I A $\$0.0$ $10,219$ D I I $B72.33$ I $401k$ I I I $Custodian for Minor$ I I I I BT I I I I BT I I I I BT $Bancorp$ $ESOP$ I I I eurities)title and $8.$ Price of $9.$ Number of $10.$ $11.$ Nature					

Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)		Secu Acqu (A) o Dispo of (D	vative rities ired r osed) . 3, 4	(Month/Day/Y	ate	Amou Secur Unde Deriv	rities rlying ative rity (Instr.	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Pursuant to NBT Bancorp Inc. Omnibus Restricted Stock Unit Agreement the grant vests 20% annually.

By: Annette L. Burns, Power of Attorney For: Shaunastar 03/25/2020

M. Hyle

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.