FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

|   | OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|---|--------------------------|-----------|--|--|--|--|--|--|--|--|
|   | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| I | Estimated average burden |           |  |  |  |  |  |  |  |  |
| ١ | hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

|        | Check this box if no longer subject |
|--------|-------------------------------------|
| $\Box$ | to Section 16. Form 4 or Form 5     |
| $\cup$ | obligations may continue. See       |
|        | Instruction 1(b).                   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Douglas James H</u>  |  |  |        |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NBT BANCORP INC [ NBTB ] |   |        |  |                                    |      |  |                     |                                |   | ck all app   | ,  | ing Pers | son(s) to I  |  |  |
|--|--|--|--------|---|---|---|--------|--|------------------------------------|------|--|---------------------|--------------------------------|---|--|--|----------|--|--|--|
| (Last) (First) (Middle)  |  |  |        | 3. Date of Earliest Transaction (Month/Day/Year) 05/16/2023 |   |   |        |  |                                    |      |  |                     |                                | Office<br>belov                               | er (give title<br>v)   |  | Other (s | specify  |  |  |
| 52 SOUTH BROAD STREET  |  |  |        |   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                    |   |        |  |                                    |      |  |                     |                                | 6. Ind  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |  |          |  |  |  |
| (Street)   | (Street) NORWICH NY 13815  |  |        |   |   |   |        |  |                                    |      |  |                     |                                | X   | X Form filed by One Reporting Person Form filed by More than One Reporting Person                                  |  |          |  |  |  |
| (City)   | Rule 10b5-1(c) Transaction Indication  |  |        |   |   |   |        |  |                                    |      |  |                     |                                |   |  |  |          |  |  |  |
|  | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |        |   |   |   |        |  |                                    |      |  |                     |                                |   |  |  |          |  |  |  |
|  |  | Table                                      | I - No | n-Deriva  | tive S  | ecui  | rities | Acq  | uired,                             | Dis  | posed of   | , or E              | 3ene                           | eficial                                       | y Owr  | ned  |          |  |  |  |
| 1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day  |  |  |        |   | Exec<br>if any  | Deemed<br>cution Date,<br>ny<br>nth/Day/Year) |        | 3.<br>Transaction<br>Code (Instr.<br>8)  4. Securiti<br>Disposed<br>and 5) |                                    |      |  |                     |                                | 5. Amo<br>Securi<br>Benefi<br>Owned<br>Follow | ties<br>cially<br>I<br>ing   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |  |
|  |  |  |        |   |   |   |        |  | Code                               | v    | Amount   | (A)<br>(D)          | or                             | Price   |  | ed<br>ction(s)<br>3 and 4)   |          |  |  |  |
| NBT Bancorp Inc. Common Stock 05/16/2  |  |  |        |   |   | 2023  |        |  | A 1                                |      | 1,250(1)   | 50 <sup>(1)</sup> A |                                | \$0.0   | 14,350.285   |  |          | D  |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |  |        |   |   |   |        |  |                                    |      |  |                     |                                |   |  |  |          |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any | emed<br>tion Date,<br>n/Day/Year)                           |   | Transaction Number Code (Instr. of            |        |  | 6. Date E<br>Expiratio<br>(Month/E |      | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security<br>(Instr. 3 and 4 |                     | De<br>Se<br>(In                | Price of<br>rivative<br>curity<br>str. 5)     | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | Owne<br>Form<br>Direct<br>or Ind<br>(I) (In                          | wnership | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |  |  |        |   | Code  | v   | (A)    | (D)  | Date<br>Exercisa                   | ıble | Expiration<br>Date   | Title               | Amo<br>or<br>Num<br>of<br>Shar | ber   |  |  |          |  |  |  |

## Explanation of Responses:

1. Acquired pursuant to the NBT Bancorp Inc. 2018 Omnibus Incentive Plan. Each outside director is entitled to receive an annual retainer for Board service in the form of restricted stock units of the Company's common stock that vest in one year.

By: Annette L. Burns, Power of Attorney For: James H.

05/17/2023

**Douglas** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.