SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response:	0.5									

1. Name and Address of Reporting Person [*] DIETRICH MARTIN A			2. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]		tionship of Reporting Perso all applicable) Director	n(s) to Issuer 10% Owner		
(Loot) (Firot) (Middlo)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/10/2017		Officer (give title below)	Other (specify below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicat Line)				
(Street) NORWICH	NY	13815		X	Form filed by One Report Form filed by More than (0		
(City)	(State)	(Zip)			Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) 3. (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code V		Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
NBT Bancorp Inc. Common Stock	02/10/2017		J		203.398	A	\$0.0 ⁽¹⁾	123,409.443	D	
NBT Bancorp Inc. Common Stock	02/10/2017		М		23,206	A	\$28.01	146,615.443	D	
NBT Bancorp Inc. Common Stock	02/10/2017		S		23,206	D	\$39.9611	123,409.443	D	
NBT Bancorp Inc. Common Stock	02/10/2017		J		327.806	A	\$0.0 ⁽²⁾	19,608.401	Ι	401k
NBT Bancorp Inc. Common Stock								340	I	Custodian for Minor
NBT Bancorp Inc. Common Stock								10,731.723	I	NBT Bancorp ESOP

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disp of (I	amber vative irities iried vosed 5) (Instr. and 5)		e and of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$28.01	02/10/2017		М			23,206	01/31/2017	05/12/2026	NBT Bancorp Inc. Common Stock	23,206	\$39.9611	0	D	

Explanation of Responses:

1. Shares acquired under NBT Bancorp Dividend Reinvestment Plan during period of 1/1/2016 to 12/31/2016.

2. Shares were purchased through dividend reinvestment from 1/1/2016 through 12/31/2016 through NBT Bancorp Inc. 401k Plan.

By: F. Sheldon Prentice, Power

<u>of Attorney For: Martin A.</u>

Dietrich

** Signature of Reporting Person Date

02/14/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL