### FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number:	3235-0362								
	Estimated average burden									
-	hours per response:	1.0								

Form 3 Holdings Reported.

Instruction 1(b)

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
, , , , , , , , , , , , , , , , , , ,							Form filed by N Person	More than One	Reporting		
(Street) NORWICH	NY	13815	4. If Amendmer	nt, Date of Orig	inal Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Gr	oup Filing (Che	• •		
(Last) 52 SOUTH BI	(First) ROAD STREE	(Middle)	3. Statement fo 12/31/2021	r Issuer's Fisca	al Year Ended (Month/Day/Year)	X Officer (give title Other (spe below) below)  EVP, Consumer Lending					
Name and Address of Reporting Person*     Hyle Shaunastar			2. Issuer Name  NBT BAN		Trading Symbol C [ NBTB ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify					

#### (D) or Indirect (I) (Month/Day/Year) 8) Owned at end of Ownership Issuer's Fiscal (Instr. 4) (A) or (D) Amount Price Year (Instr. 3 and (Instr. 4) 4) \$0.0(1) 401k NBT Bancorp Inc. Common Stock 12/31/2021 J 26.851 A 930.4 Ι Custodian 12/31/2021 0.038 \$0.0(2) 1.309 NBT Bancorp Inc. Common Stock J A I for Minor **NBT** NBT Bancorp Inc. Common Stock 12/31/2021 8.579 A \$0.0(3) 297.228 I Bancorp **ESOP** NBT Bancorp Inc. Common Stock 12,873 D

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### **Explanation of Responses:**

- $1. \ Shares \ were \ purchased \ through \ payroll \ deferral \ and/or \ dividend \ reinvestment \ from \ 1/1/2021 \ to \ 12/31/2021 \ through \ NBT \ Bancorp \ Inc. \ 401k \ Plan.$
- 2. Shares were purchased through a Dividend Reinvestment Plan from 1/1/2021 to 12/31/2021.
- 3. Shares were purchased through payroll deferral and/or dividend reinvestment from 1/1/2021 to 12/31/2021 through NBT Bancorp Inc. ESOP Plan.

By: Annette L. Burns, Power of Attorney For: Shaunastar

02/14/2022

M. Hyle

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.