## SEC Form 5

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# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Form 3 Holdings Reported.

Form 4 Transactions Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

**OWNERSHIP** 

1. Name and Address of Reporting Person* PRENTICE F SHELDON			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
INDITIOL				I	Director	10% Owner			
-				x	Officer (give title	Other (specify			
(Loct)	(Firet)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)		below)	below)			
(Last) (First) (Middle)		(Midule)	12/31/2015		EVP,General Cou	insel.Sec'v			
52 SOUTH BROAD STREET					2,1,0000000000	inder,dee y			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
NORWICH	NY	13815		X	Form filed by One Rep	oorting Person			
					Form filed by More that	an One Reporting			
(City)	(State)	(Zip)			Person				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acc Of (D) (Instr. 3, 4		or Disposed	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	
NBT Bancorp Inc. Common Stock	12/31/2015		J	92.38	A	<b>\$0.0</b> <sup>(1)</sup>	1,394.79	Ι	401k
NBT Bancorp Inc. Common Stock	12/31/2015		J	14.979	A	<b>\$0.0</b> <sup>(2)</sup>	466.44	I	NBT Bancorp ESOP
NBT Bancorp Inc. Common Stock							23,016	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cars, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Disp of (D	posed D) tr. 3, 4		e Amount of		8. Price of Derivative Security (Instr. 5) 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$24.47						05/01/2011 <sup>(3)</sup>	05/01/2020	NBT Bancorp Inc. Common Stock	10,000		10,000	D	

Explanation of Responses:

1. Shares were purchased from 1/1/2015 through 12/31/2015 through NBT Bancorp Inc. 401k Plan.

2. Shares were purchased from 1/1/2015 through 12/31/2015 through NBT Bancorp Inc. ESOP Plan.

3. Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

## F. Sheldon Prentice

02/16/2016 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.