# SEC Form 5

# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to	Section 16	(a) of the	Securities	Exchange	Act of	1934
or Section	1 30(h) of th	e Investn	ient Comp	anv Act of :	1940	

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1. Name and Address of Reporting Person* <u>CHEWENS MICHAEL J</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NBT BANCORP INC</u> [ NBTB ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) 52 SOUTH BE	(First) (Middle) ROAD STREET		3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2012	X Officer (give title Other (specify below) below) Sr EVP & CFO								
(Street) NORWICH (City)	NY (State)	13815 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

#### 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 3. 6. 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 5. Transaction Code (Instr. 8) Execution Date Securities Beneficially Indirect Beneficial if any (Month/Day/Year) (Month/Day/Year) Owned at end of Ownership Issuer's Fiscal Year (Instr. 3 and (Instr. 4) (A) or (D) Amount Price 4) NBT Bancorp Inc. Common Stock 12/31/2012 J 309.305 Α \$0<sup>(1)</sup> 14,355.939 401k I NBT NBT Bancorp Inc. Common Stock 12/31/2012 J 219.461 Α **\$0**<sup>(2)</sup> 3,922.861 I Bancorp ESOP NBT Bancorp Inc. Common Stock 40.805 D

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$20.19						01/15/2011 <sup>(3)</sup>	01/15/2020	NBT Bancorp Inc. Common Stock	18,000		18,000	D	
Non- Qualified Stock Option (right to buy)	\$20.3617						01/15/2009 <sup>(4)</sup>	01/15/2018	NBT Bancorp Inc. Common Stock	18,000		18,000	D	
Non- Qualified Stock Option (right to buy)	\$22.1715						01/01/2005 <sup>(4)</sup>	01/01/2014	NBT Bancorp Inc. Common Stock	715		715	D	
Non- Qualified Stock Option (right to buy)	\$22.352						01/01/2007 <sup>(4)</sup>	01/01/2016	NBT Bancorp Inc. Common Stock	22,000		22,000	D	
Non- Qualified Stock Option (right to buy)	\$23.2708						01/20/2006 <sup>(4)</sup>	01/20/2015	NBT Bancorp Inc. Common Stock	17,507		17,507	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	on of Expi		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$25.38						01/15/2010 <sup>(3)</sup>	01/15/2019	NBT Bancorp Inc. Common Stock	18,000		18,000	D	
Non- Qualified Stock Option (right to buy)	\$25.762						01/01/2008 <sup>(4)</sup>	01/01/2017	NBT Bancorp Inc. Common Stock	20,000		20,000	D	

#### Explanation of Responses:

1. Shares were purchased from 1/1/2012 through 12/31/2012 through NBT Bancorp Inc. 401k Plan.

2. Shares were purchased from 1/1/2012 through 12/31/2012 through NBT Bancorp Inc. ESOP Plan.

3. Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

4. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

By: F. Sheldon Prentice, Power

02/07/2013

Date

<u>Chewens</u> \*\* Signature of Reporting Person

of Attorney For: Michael J.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.