SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Form 4 Transactions Reported or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person NBT BANCORP INC [NBTB] Durkee Matthew K Director 10% Owner Other (specify below) Officer (give title X 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) below) (Last) (First) (Middle) 12/31/2017 EVP / President of New England **52 SOUTH BROAD STREET** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) NORWICH 13815 Form filed by One Reporting Person NY Х Form filed by More than One Reporting Person (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Act Of (D) (Instr. 3, 4		or Disposed	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
NBT Bancorp Inc. Common Stock	12/31/2017		J	49.656	A	\$0.0 ⁽¹⁾	2,696.878	Ι	401k
NBT Bancorp Inc. Common Stock	12/31/2017		J	9.763	A	\$0.0 ⁽²⁾	530.293	I	NBT Bancorp ESOP
NBT Bancorp Inc. Common Stock							25,173	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	n Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Se Se Ac (A) Dis of (Instruction) (Month/Day/Year) (Month/Day		of Deriv Secur Acqu (A) or Dispo of (D)	or posed D) tr. 3, 4			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares were purchased through dividend reinvestment from 1/1/2017 through 12/31/2017 through NBT Bancorp Inc. 401k Plan.

2. Shares were purchased through dividend reinvestment from 1/1/2017 through 12/31/2017 through NBT Bancorp Inc. ESOP Plan.

 By: F. Sheldon Prentice, Power

 of Attorney For: Matthew K.

 02/13/2018

 Durkee

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.