SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Address of Reporting Person* DIETRICH MARTIN A						2. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner y Officer (give title Other (specify				
(Last) (First) (Middle) 52 SOUTH BROAD STREET							of Earlies 2015	t Tran	saction (M	onth/I	Day/Year)		below)		EO NI	Other (s below) 3T Banco		
(Street) NORWICH NY 13815						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(City) (State) (Zip)													Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Transa Date (Month/D							2A. Deemed Execution Date, if any (Month/Day/Year)		, Transaction Di Code (Instr. 5)		Disposed			Securities Beneficia Owned Fe Reported	5. Amount of Securities Beneficially Dwned Following Reported		Direct I Indirect I str. 4) (7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
<u> </u>	_	ommon Stock										_		186,35	56.569		D	
NBT Bai	ncorp Inc. C	ommon Stock							_		<u> </u>		<u> </u>	18,945.912		I 4		401k
NBT Bancorp Inc. Common Stock														755				Custodian for Minor
NBT Bancorp Inc. Common Stock														10,731.723			I 1	NBT Bancorp ESOP
			Table II -											Owned				
(e.g., puts, calls, warrants, options, convertible secur 1. Title of 2. 3. Transaction 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and										d Amount				11. Nature				
Derivative Security (Instr. 3)	ity or Exercise (Month/Day/Year) if any			Transaction Code (Instr. 8)				Expiration Date (Month/Day/Year)			of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5) Benefici Owned Followin Reporte Transac (Instr. 4)		es Form: ially Direct (D) or Indirec ng (I) (Instr. 4 d tion(s)		Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Amount or Number of Shares					
Non- Qualified Stock Option (right to buy)	\$25.15	09/01/2015			Α		20,575		10/29/201	6 ⁽¹⁾	10/29/2024	NBT Bancorp Inc. Common Stock	20,575	\$0.0	20,57	75	D	
Non- Qualified Stock Option (right to buy)	\$20.19								01/15/201	1 ⁽²⁾	01/15/2020	NBT Bancorp Inc. Common Stock	25,000		25,00	00	D	
Non- Qualified Stock Option (right to buy)	\$20.3617								01/15/200	9 ⁽³⁾	01/15/2018	NBT Bancorp Inc. Common Stock	25,000		25,00	00	D	
Non- Qualified Stock Option (right to buy)	\$20.94								11/18/201	3 ⁽¹⁾	11/18/2021	NBT Bancorp Inc. Common Stock	30,000		30,00	00	D	
Non- Qualified Stock Option (right to buy)	\$22.352								01/01/200	<mark>7</mark> (3)	01/01/2016	NBT Bancorp Inc. Common Stock	30,000		30,00	00	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Acqu (A) o Disp of (D 3, 4 i			ive ies ed ed nstr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$ 24.5692							12/27/2009 ⁽¹⁾	12/27/2017	NBT Bancorp Inc. Common Stock	12,641		12,641	D	
Non- Qualified Stock Option (right to buy)	\$ 25.36							10/29/2016 ⁽¹⁾	10/29/2024	NBT Bancorp Inc. Common Stock	1,166		1,166	D	
Non- Qualified Stock Option (right to buy)	\$ 25.38							01/15/2010 ⁽²⁾	01/15/2019	NBT Bancorp Inc. Common Stock	25,000		25,000	D	
Non- Qualified Stock Option (right to buy)	\$25.762							01/01/2008 ⁽³⁾	01/01/2017	NBT Bancorp Inc. Common Stock	28,000		28,000	D	

Explanation of Responses:

1. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan reload grant vests 100% two years after date of its grant.

2. Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

3. Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

By: F. Sheldon Prentice, Power

09/03/2015

of Attorney For: Martin A.

Dietrich

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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