SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
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1. Name and Addres	ss of Reporting Person ICIA T	n*	2. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]		tionship of Reporting Perso all applicable) Director	n(s) to Issuer 10% Owner
(Last) 52 SOUTH BRO	(First) (Middle TH BROAD STREET		3. Date of Earliest Transaction (Month/Day/Year) 11/16/2016		Officer (give title below)	Other (specify below)
(Street) NORWICH	NY	13815	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing (Form filed by One Report Form filed by More than C Person	ing Person
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
NBT Bancorp Inc. Common Stock	11/16/2016		М		1,500	Α	\$22.4842	24,168.333	D		
NBT Bancorp Inc. Common Stock	11/16/2016		S		1,500	D	\$38.7365	22,668.333	D		
NBT Bancorp Inc. Common Stock	11/16/2016		М		1,750	Α	\$22.5508	24,418.333	D		
NBT Bancorp Inc. Common Stock	11/16/2016		S		1,750	D	\$38.7365	22,668.333	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (E	oosed D) tr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$ 22.4842	11/16/2016		М			1,500	05/01/2008 ⁽¹⁾	05/01/2017	NBT Bancorp Inc. Common Stock	1,500	\$38.7365	0	D	
Non- Qualified Stock Option (right to buy)	\$ 22.5508	11/16/2016		М			1,750	05/01/2009 ⁽¹⁾	05/01/2018	NBT Bancorp Inc. Common Stock	1,750	\$38.7365	0	D	
Non- Qualified Stock Option (right to buy)	\$24.5							12/15/2016 ⁽²⁾	12/15/2024	NBT Bancorp Inc. Common Stock	2,130		2,130	D	
Non- Qualified Stock Option (right to buy)	\$ 25.92							04/08/2018 ⁽²⁾	04/08/2026	NBT Bancorp Inc. Common Stock	1,500		1,500	D	

Explanation of Responses:

1. Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan grant vests 40% for first year, 20% annually for following years.

2. Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan reload grant vests 100% two years after date of its grant.

By: F. Sheldon Prentice, Power

of Attorney For: Patricia T. 11/17/2016

<u>Civil</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.