SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 12/16/2016 12/16/2016				nent	3. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]						
	(First) NCORP INC. ROAD STREE	(Middle)			4. Relat (Check X	ionship of Reporting Perso all applicable) Director Officer (give title	10% Owne Other (spe	er	(Month/Day/Year) 12/27/2016		
(Street) NORWICH	NY (State)	13815 (Zip)				below)	below)		6. Inc Appli X	cable Line) Form filed by	/Group Filing (Check y One Reporting Person y More than One erson
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					Beneficially Owned (Instr. 4) Fo or				4. Nat (Instr.	Nature of Indirect Beneficial Ownership str. 5)	
Common Stock						637,558	I		See footnote ⁽¹⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable Expiration Date (Month/Day/Year)			ate		le and Amount of Secur erlying Derivative Securi		4. Conversion or Exercise Price of		Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Deriva Deriva Securi	tive	Direct (D) or Indirect (I) (Instr. 5)	

Explanation of Responses:

1. The reported securities are held by New York Central Mutual Fire Insurance Company (NYCM), a corporation for which the reporting person serves as President and Chief Executive Officer and a member of the board of directors. The reporting person's original Form 3 filing inadvertently omitted these securities at the time the reporting person became a director of NBT.

Remarks:

<u>/s/ F. Sheldon Prentice, Power</u> of Attorney For: V. Daniel

05/22/2017

Robinson II

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.