SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Addre	ess of Reporting Perso <u>DARYL R</u>	on*	2. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]		tionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner	
(Last) 52 SOUTH BR	(First) OAD STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/08/2013		Officer (give title below)	Other (specify below)	
			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing	(Check Applicable	
(Street) NORWICH	NY	13815		Line) X	 Form filed by One Reporting Person Form filed by More than One Reporting 		
(City)	(State)	(Zip)			Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
NBT Bancorp Inc. Common Stock	11/08/2013		М		5,000	A	\$21.74	119,098.787	D	
NBT Bancorp Inc. Common Stock	11/08/2013		S		5,000	D	\$25	114,098.787	D	
NBT Bancorp Inc. Common Stock	11/08/2013		М		5,000	A	\$22.4842	119,098.787	D	
NBT Bancorp Inc. Common Stock	11/08/2013		S		5,000	D	\$25	114,098.787	D	
NBT Bancorp Inc. Common Stock	11/08/2013		М		5,000	A	\$22.5508	119,098.787	D	
NBT Bancorp Inc. Common Stock	11/08/2013		S		5,000	D	\$25	114,098.787	D	
NBT Bancorp Inc. Common Stock								0	I	NBT 401k/ESOF Plan
NBT Bancorp Inc. Common Stock				1				0	I	NBT Bancorp ESOP
NBT Bancorp Inc. Common Stock								1,842	I	by Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$21.74	11/08/2013		М			5,000	05/01/2007 ⁽¹⁾	05/01/2016	NBT Bancorp Inc. Common Stock	5,000	\$25	0	D	
Non- Qualified Stock Option (right to buy)	\$22.4842	11/08/2013		М			5,000	05/01/2008 ⁽¹⁾	05/01/2017	NBT Bancorp Inc. Common Stock	5,000	\$25	0	D	
Non- Qualified Stock Option (right to buy)	\$22.5508	11/08/2013		М			5,000	05/01/2009 ⁽¹⁾	05/01/2018	NBT Bancorp Inc. Common Stock	5,000	\$25	0	D	

Explanation of Responses:

1. Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan grant vests 40% for first year, 20% annually for following years.

of Attorney For: Daryl R. <u>Forsythe</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.