## SEC Form 5

## FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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	OMB Number:	3235-0362						
	Estimated average burden							
	hours per response:	1.0						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

1. Name and Address of Reporting Person* Douglas James H (Last) (First) (Midd		2. Issuer Name <u>NBT BAN</u>		Trading Symbol		5	Relationship of Report	ting Dorcon(c)	to loover	
(Last) (First) (Mide						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
52 SOUTH BROAD STREET	dle)	3. Statement for 12/31/2020	r Issuer's Fisc	al Year Ended (M	y/Year)	Officer (give title below)	e Oth bel	ner (specify ow)		
,	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) NORWICH NY 138:	15					-	Form filed by More than One Reporting			
(City) (State) (Zip)						i cioni				
Table I -	Non-Deriva	tive Securitie	es Acquire	ed, Disposed	l of, oi	Benefic	ially Owned			
Date	e E onth/Day/Year) if	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acc Of (D) (Instr. 3, 4		) or Dispose	d 5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)			
NBT Bancorp Inc. Common Stock 12	2/31/2020		J	282.471	A	<b>\$0.0</b> <sup>(1)</sup>	10,502.504	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A, Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares were purchased through a Dividend Reinvestment Plan from 1/1/2020 to 12/31/2020.

By: Annette L. Burns, Power	
of Attorney For: James H.	02/11/2021
Douglas	

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.