SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Stagliano Joseph R			2. Date of Ev Requiring Sta (Month/Day/Y 05/23/2017	atement (ear)	3. Issuer Name and Ticker or Trading Symbol <u>NBT BANCORP INC</u> [NBTB]						
	NBT BANCORP INC.					ionship of Reporting Pers all applicable) Director Officer (give title	10% Owner Other (spec	(Mon 06/0	5. If Amendment, Date of Original Filed (Month/Day/Year) 06/02/2017		
52 SOUTH BROAD STREET						below) EVP Ops and Reta	below) iil Banking		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)		10015						_ · · ·	,	One Reporting Person	
NORWICH	NY	13815							Form filed by Reporting Pe	More than One erson	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		(D) (Instr.	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securitie Underlying Derivative Security			4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
		Date Exercisable	Expiration Date			Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)			
Phantom Stock		(1)	(1)		T Bancorp Inc. ommon Stock	8,294.035 ⁽²⁾	(1)	D			

Explanation of Responses:

1. Each share of phantom stock is deemed invested in one share of NBT Bancorp Inc. common stock pursuant to the terms of the Deferred Compensation Plan.

2. This amendment to Form 3 is being filed to correct a typographical error in the number of phantom shares reported on the reporting person's Form 3 filed on June 2, 2017. **Remarks:**

<u>/s/ F. Sheldon Prentice, Power</u> of Attorney For: Joseph R.

07/05/2017

Stagliano

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.