SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Title of Securi		Table I - Nor	1-Derivative S	ecurities Acqu	uired, Disp	4. Securities Acquired (A		Owned	6. Ownership	7. Nature	
(City) (State) (Zip)				Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							
(Street) NORWICH	NY	13815					Line) X	Form filed by On Form filed by Mo Person			
(Last) 52 SOUTH BI	(First) ROAD STREET	(Middle)		1/2024 mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv	below)	below	,	
1. Name and Address of Reporting Person [*] Hoeller Heidi M			NB	uer Name and Ticke <u>Γ BANCORP</u> e of Earliest Transa	INC [NE	BTB]		tionship of Reporting Person(s) to Issue all applicable) Director 10% Owne Officer (give title Other (spe		Dwner	

T. The of Security (linst: 5)	Date Execution (Month/Day/Year) if any (Month/Da		Code (Instr.		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)	1
NBT Bancorp Inc. Common Stock	05/21/2024		Α		1,068(1)	Α	\$0.0	5,759	D		I

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (13), (· • • • • • • •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Acquired pursuant to the NBT Bancorp Inc. 2024 Omnibus Incentive Plan. Each outside director is entitled to receive an annual retainer for Board service in the form of restricted stock units of the Company's common stock that vest in one year.



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).